

HKEx Information Services Limited

(A wholly-owned member of Hong Kong Exchanges and Clearing Limited Group)

19 November 2013

Ref: MDD/13/2242

By Email

To: Direct Connection Issuer News (“IIS”) Clients

Dear Sirs,

Post Release Test for System Upgrade of IIS Backup System

Please be informed that IIS backup systems located at the Disaster Recovery Site (“DR Site”) will be upgraded this Saturday, 23 November 2013. The upgrade is transparent to all IIS Clients (“Clients”) and no system changes are required.

In order to ensure the connectivity between Clients and the IIS backup systems will remain intact after the upgrade, a mandatory Post Release Test will be conducted on 23 November 2013 (Saturday). Clients are required to participate in the Post Release Test to ensure your successful reception of data from the IIS backup systems in case of IIS site failover situation. The Test Rundown is provided as per enclosure 1.

Please complete and return the enclosed Test Participation Form by 21 November 2013 (Thursday). Upon the completion of the Post Release Test, you are required to submit the duly completed Test Result Confirmation Form as enclosed no later than 3:00 pm on the test date.

Should you have any queries, please contact our Vendor Support Hotline at (852) 2211 6558.

Yours sincerely,

Karen Lam
Assistant Vice President
Vendor Support & Data Management, Market Data
Global Markets Division

Encl.: 1) Test Rundown; 2) Test Participation Form; and 3) Test Result Confirmation Form.